

Docket No.: S63.2N-6399-US04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles L. Euteneuer, Christopher R. Larson,

Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and

Terry V. Brown

Application No.:

10/648075

Filed:

August 26, 2003

For:

Catheter Support for Stent Delivery

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

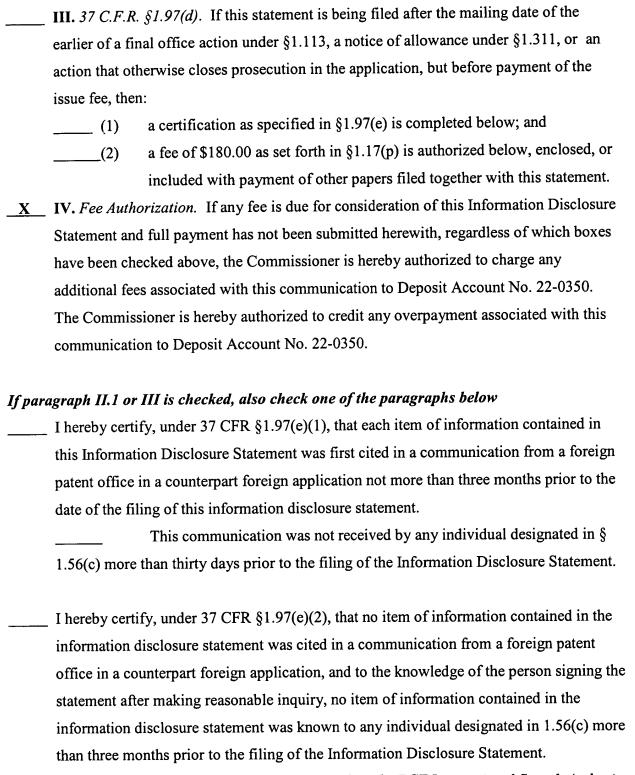
Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

X	I. This s	statem	ent qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.
§1.97	(b) or othe	rwise	because to the knowledge of the undersigned attorney it is being filed
(checl	k all that a	pply):	
	<u>X</u> (1)	within 3 months of the filing date of the application (other than a CPA); or
	(2	2)	within 3 months of entry of the national stage; or
	<u>X</u> (3)	before the mailing of a first Office Action on the merits;
	(4	4)	before the mailing of a first Office Action after the filing of a request for
			continued examination (RCE) under §1.114;
	(5)	as part of a continued prosecution application (CPA); or
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.
			§1.103(b).
	II. This	staten	nent is believed to require a fee or the submission of a certification under
	37 C.F.R	R. §1.9	7 (c) or otherwise. If this statement is being filed after the latest of: (1)
	three mo	nths b	beyond the filing date of a national application (other than CPA); (2) three
	months b	oeyono	d the date of entry of the national stage as set forth in §1.491 in an
	internation	onal a	pplication; (3) the mailing of a first Office Action on the merits; (4) the
	mailing o	of a fir	rst Office Action after the filing of a request for continued examination
	under §1	.114;	or (5) after the filing of a request for a continued prosecution application,
	but befor	re the	mailing date of the earlier of a final office action under §1.113, a notice of
	allowanc	e und	er §1.311 or an action that otherwise closes prosecution in the application,
	then:		
	(1	1)	a certification as specified in §1.97(e) is provided below; or
	(2	2)	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
			included with the payment of other papers filed together with this
			statement.



For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAU

Date: September 11, 2003

William E. Anderson II Registration No.: 37766

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Facsimile: (952) 563-3001

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LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2N-6399-US04

APPLICATION NO.: 10/648075

APPLICANT: Charles L. Euteneuer, Christopher R. Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and Terry V.

FILING DATE: August 26, 2003

GROUP: Not Yet Assigned

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REFE	RENCI	E DESIGNATION	U.S. P	ATENT AND PUBLISHED	APPLICATION	N DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE			
	AA	5,108,416	04/28/1992	Ryan et al.	606/194				
	AB	5,116,318	05/26/1992	Hillstead	604/96				
	AC	5,409,495	04/25/1995	Osborn	606/108				
	AD	6,361,555 B1	03/26/2002	Wilson	623/1.11				
	AE	6,371,962 B1	04/16/2002	Ellis et al.	606/108				
	AF	6,379,365 B1	04/30/2002	Diaz	606/108				
	AG	6,383,212 B2	05/07/2002	Durcan et al.	623/1.11				
	AH	6,391,032 B2	05/21/2002	Blaeser et al.	606/108				
	ΑI	6,395,008 B1	05/28/2002	Ellis et al.	606/108				
	AJ	6,419,685 B2	07/16/2002	Di Caprio et al.	606/192				
	AK	6,447,501 B1	09/10/2002	Solar et al.	604/528				
	AL	6,468,298 B1	10/22/2002	Pelton	623/1.11				
	AM	6,488,688 B2	12/03/2002	Lim et al.	606/108				
	AN	6,488,694 B1	12/03/2002	Lau et al.	606/194				
	AO	6,506,202 B1	01/14/2003	Dutta et al.	606/194				
	AP	6,517,548 B2	02/11/2003	Lorentzen Cornelius et al.	606/108				
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	AR	6,544,268 B1	04/08/2003	Lazarus	606/108				
	AS	6,589,274 B2	07/08/2003	Stiger et al.	623/1.11				
	AT	6,592,550 B1	07/15/2003	Boatman et al.	604/103.06				
	AU	6,592,568 B2	07/15/2003	Campbell	604/509				
	AV	6,592,592 B1	07/15/2003	Cox	606/108				
	AW	6,602,226 B1	08/05/2003	Smith et al.	604/103.05				
	AX	6,605,107 B1	08/12/2003	Klein	623/1.11				
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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NIT.	AA	4,328,056	05/04/1982	Snooks				
	AB	4,338,942	07/13/1982	Fogarty				
	AC	4,423,725	01/03/1984	Baran et al.				
	AD	4,608,984	09/02/1986	Fogarty			<u> </u>	
	AE	B1 4,733,665	01/11/1994	Palmaz				
	AF	4,740,207	04/26/1988	Kreamer			 	··
	AG	4,744,366	05/17/1988	Jang			<u> </u>	
	AH	4,848,343	07/18/1989	Wallstein et al.				
	1	4,875,480	10/24/1989	Imbert				
	AI	4,950,227	08/21/1990	Savin et al.				
	AJ	5,007,926	04/16/1991	Derbyshire				
	AK		06/25/1991	Burton et al.				
	AL	5,026,377		NT OR PUBLISHED FOREIGN PATE	NT APPLIC	CATION		
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	BC	0 529 039 A1	02/24/1993	EPO			<u>x</u>	<u> </u>
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	BE	0 553 960 A1	12/07/1994	EPO			x	
	BF	0 627 201 A1		EPO			x	
	BG	EP 0 699 451 A2	03/06/1996	EPO			x	
	BH	EP 0 707 837 A1					x	
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	BJ	WO 96/03092 A1	02/08/1996	PCT			x	
	BK	WO 96/03072	02/08/1996	PCT		 		
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Serial No: 08/807,791 FORM PTO-1449 (Modified) **ATTY DOCKET NO: S63.2-6399** 2 2 INFORMATION DISCLOSURE APPLICANT: Charles L. Euteneuer, Christopher Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek STATEMENT (Use several sheets if necessary) FILING DATE: February 28, 1997 Group: REFERENCE DESIGNATION U.S. PATENT DOCUMENTS CLASS SUBCLASS FILING DATE ISSUE DATE PATENTEE EXAM'S PATENT NUMBER IF APPROPRIATE INIT. 08/06/1991 Hillstead 5,037,392 09/17/1991 Shaffer et al. 5,049,132 12/10/1991 AC 5,071,407 Termin et al. 5,108,416 04/28/1992 Ryan et al. ΔĎ 5,116,318 05/26/1992 Hilistead ΑE 10/27/1992 5,158,548 Lau et al. 07/13/1993 5,226,880 Martin AG 07/13/1993 Sheiban 5,226,889 HA 09/07/1993 Lau et al. ΑÏ 5,242,399 5,290,306 03/01/1994 Trotta et al. АK 5,342,305 08/30/1994 Shonk .09/06/1994 5,344,402 Crocker ÁL 5,344,426 09/06/1994 Lau et al. ΑM 10/25/1994 5,358,487 Miller ΑN 01/03/1995 5,378,237 Boussignac et al. ΑO 04/04/1995 Solar 5,403,341 AP 04/11/1995 ΑQ 5,405,380 Gianotti et al. AR 5,409,495 04/25/1995 Osborn 5,415,664 05/16/1995 Pinchuk ÁS 08/29/1995 ΑT 5,445,646 Euteneuer et al. ΑŬ 5,447,497 09/05/1995 Sogard et al. 09/26/1995 Martinez et al. ΑV 5,453,090 10/17/1995 Klemm et al. ΑW 5,458,615 5,470,313 11/28/1995 Crocker et al. ΑX ΑY 5,507,768 04/16/1996 Lau et al. **EXAMINER** DATE CONSIDERED EXAMINER: Initial clatton considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant

Page __2__ of __3__

Serial No: 08/807,791 ATTY DOCKET NO: S63.2-6399 FORM PTO-1949 (Modified) APPLICANT: Charles L. Euteneuer, Christopher Larson, Steven P. Mertens, Richard C. Mattison, SEP 2 2 2000 INFORMATION DISCLOSURE David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek STATEMENT
(Use several sheets if necessary) Group: FILING DATE: February 28, 1997 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION CLASS SUBCLASS FILING DATE PATENTEE ISSUE DATE PATENT NUMBER IF APPROPRIATE EXAM'S INIT. 04/30/1996 Wang et al. 5,512,051 St. Germain et al. 07/09/1996 5,534,007 ΑB 07/16/1996 Imran et al. AC 5,536,252 AD ΑE AF AG AΗ AI AJ ΑK ΑL AM AN ΑO ÁΡ AQ AR AS ΑT ΑU A٧ ΑW ΑX ΑY DATE CONSIDERED EXAMINER EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant

Page __3__ of ._3__

Serial No: 08/697,453 ATTY DOCKET NO:S63.2-6048 FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT: Louis G. Ellis, Andrew J. Dusbabek APPLICANT'S INFORMATION DISCLOSURE Group: 3309 STATEMENT FILING DATE: August 23, 1996 (Use several sheets if necessary) U.S. PATENT DOCUMENTS REFERENCE DESIGNATION SUBCLASS FILING DATE CLASS NAME DATE DOCUMENT NUMBER IF APPROPRIATE **EXAM'S** INIT. 10/05/1954 Raiche 2,690,595 06/09/1981 Fogarty et al. ΑB 4,271,839 05/04/1982 Inoue 4,327,736 AC 09/13/1983 Fogarty AD 4,403,612 Oestreich <u>4,576,</u>871 03/18/1986 AΕ 01/20/1987 Cook 4,637,396 AF 03/17/1987 Kowalewski 4,649,914 AG Brooks et al. 10/27/1987 4,702,252 ΑH 08/16/1988 Jang 4,763,654 AT 11/29/1988 Hofmann 4,787,388 ٨J Tight, Ir. et al. 12/05/1989 4,885,194 FOREIGN PATENT DOCUMENTS TRANSLATION CLASS SUBCLASS COUNTRY DATE DOCUMENT NUMBER YES 07/13/1988 EPO 0 274 411 A2 x 04/03/1991 EPO 0 420 488 A1 MA 04/03/1993 EPO 0 420 488 B1 AN X 11/21/1991 EPO 0 457 456 AI 05/12/1993 EPO 0 540 B58 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Kim et al., Mechanical and Transport Properties of Coextruded Films, Journal of Applied Polymer Science, Vol. 29, p. 2359-2382 (1984). AR May et al., Polyvisyl and viryl copolymers, MODERN PLASTICS ENCYCLOPEDIA, 1986-1987, p. 82. AS Daniel O. Adams, BME, PTCA Balloon Materials, Their Characteristics and Impact on Catheter Selection, Sales training Technical Notes. ΑT DATE CONSIDERED EXAMINER EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Page 1 of 4 F:\WPWORK\FORMS\PTO-1449.FRM

FORM PTO (Modified)

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ATTY DOCKET NO: S63.2-6048

Serial No: 08/697,453

2 2 2003 INFORMATION DISCLOSURE STATEMENT

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

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Group: 3309 FILING DATE: August 23, 1996

EXAM'S NIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT
A	A 4,932,958	06/12/1990	Reddy et al.		· · · · · · · · · · · · · · · · · · ·	<u> </u>
A	B 4,983,167	01/08/1991	Sahota			
A	C 4,990,139	02/05/1991	Jang			ļ
A	D 4,994,033	02/19/1991	Shokey et al.			
A	E 5,049,131	09/17/1991	Deuss			
A	r 5,057,092	10/15/1991	Webster, Jr.			
A	G 5,071,406	12/10/1991	Jang			
A	н 5,090,958	02/25/1992	Sahota			
A	1 5,096,848	03/17/1992	Kawamura			
A	J 5,108,370	04/28/1992	Walinsky			
A	K 5,195,969	03/23/2993	Wang et al.			
A	L 5,264,260	11/23/1993	Saab			
A	M 5,270,086	12/14/1993	Hamlin		·	
A	N 5,295,962	03/22/1994	Crocker et al.			
A	O 5,298,300	03/29/1994	Hosoi et al.			
A	P 5,304,132	04/19/1994	Jang			
A	Q 5,306,250	04/26/1994	March et al.			
A	R 5,344,401	09/06/1994	Radisch et al.			
A	5,348,538	09/20/1994	Wang et al.			
A	r 5,358,487	10/25/1994	Miller			
A	U 5,415,635	05/16/1995	Bagaoisan et al.			
A	V 5,478,320	12/26/1995	Trotta			
A	W 5,490,839	02/13/1996	Wang et al.			
A	X 5,587,125	12/24/1996	Roychowdhury			
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next communication to applicant. PAWPWORKFORKSVEDS-AA

Page _ 2 _ of _ 4 _

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المراجع بالروحان والمستوعف والمرازي الجاري ويوجع بنسان أأتجاب والوسيع سووا وريين بالرا Serial No: 08/697,453 **ATTY DOCKET NO: S63.2-6048** FORM PTO 2449 (Modified) LIST OF ATENTS AND PUBLICATIONS FOR APPLICANT: Louis G. Eilis, Andrew J. Dusbabek SEP 2 2 200 ICANTS INFORMATION DISCLOSURE STATEMENT

Ouse several sheets if necessary) Group: 3309 FILING DATE: August 23, 1996 FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION SUBCLASS TRANSLATION CLASS COUNTRY DOCUMENT NUMBER DATE EXAM'S Yes No INIT. X 02/16/1994 EPO 0 582 870 A2 BA x PCT 05/29/1992 W0 92/08512 BB X 11/12/1992 PCT WO 92/19440 BC X PCT 04/13/1995 WO 95/09667 BDX 08/24/1995 **PCT** WO 95/22367 BE x PCT 02/22/1996 WO 96/04951 BF BG ВH ΒI ВJ ВK \mathbf{BL} BM BN BO BP BQ BR BS BT

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EXAMINER: Initial if reference considered, whether or not clintion is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER DOCUI	MENTS (Including Author, Title, Dat	e, Relevant Pages, Place of Publication)	
CA		lloons for Medical Devices, Health Watch, Prepared October 25, 199	94 at 3:04, p. 3.
СВ	Avanindra Jain et al., Effect of Inflati 1, 1986, Volume 57, p. 26-28.	on Pressures on Coronary Angioplasty Balloons, THE AMERICAN	JOURNAL OF CARDIOLOGY, January
cc	Dialog Patent Search dated Novembe	r 3, 1994.	
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	LIST OF	PATENTS AN	D PUBLICATIONS I		APPLICANT: Louis G. Ellis, Andrew J. Dusbabek, Christopher R. 1	arson, Terry	V. Brown		
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12	144	4,328,056		05/04/1982	Snoaks				
1	A.B	4,338,942		07/13/1982	Fogany				
	AC	4,423,725		01/03/1984	Baran et al.		·		
	AD	4,608,984	ه.	09/02/1986	Fogany		·		
	AE	4,733,665		03/29/1988	Paimaz				
	1.5	BI 4,733,665	j	01/11/1994	Palmaz				
	AG	4,740,207	,	04/26/1988	Kreamer				
	All	4,744,366		05/17/1988	lane and				
	AI	4,848,343		07/18/1989	Walstein et al.				
	AJ.	4,875,480		10/24/1989	Imbert				
	AX	4,950,227		08/21/1990	Savin et al.		,		
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	144	0 442 657 A2		09/11/1991	ÉPO	<u> </u>		x	
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	AD	0 627 201 A1		12/07/1994	EPO .			x	
	AP.	0 699 451 A2		03/06/1996	EPO	<u> </u>		x	
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	<u> </u>	5,007,925	06/25/1991	Burton et al.				
	AII	5,026,377		Hillstead				
	AC	5,017,192	03/06/1991	Shaffer et al.				
	AD '	5,049,132	09/17/1991					
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	AF	5,116,318	05/26/1992	Hillstead	1	· ·		
<u> </u>	AG	5,148,548	10/27/1992	Lau et al.	 			
	AII	5,226,880	07/13/1993	Martin	 			
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	AA	5,342,305	09/06/1994	Lay et al.				
	AC	5,358,487	10/25/1994	Miller	<u> </u>			
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	AE	5,405,380	04/11/1995	Gianotti et al.			•••	
	AF	3,409,495	04/25/1995	Osborn		<u> </u>		
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	144	5,470,313	11/28/1995	Crocker et al.					
	AB	5,507,768	04/16/1996	Lau et al.					
	AC	5,512,051	04/30/1996	Wans et al.		<u> </u>			
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	AA	5,776,141	07,07,1998	Klein,	ct al.		606/108			
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FORM PTO 1449 (Modified) **ATTY DOCKET NO: S63.2-6048** Serial No: 08/697,453 INFORMATION DISCLOSURE 2 2 2003 APPLICANT: Louis G. Ellis, Andrew J. Dusbabek STATEMENT BY APPLICANT (Use several sheets if necessary) FILING DATE: August 23, 1996 Group: 3309 .. REFERENCE DESIGNATION U.S. PATENT DOCUMENTS PATENT NUMBER PATENTEE ISSUE CLASS SUBCLASS FILING DATE EXAM'S INIT. DATE IF APPROPRIATE 4,423,725 01/03/1984 Baran et al. 05/17/1988 AΒ 4,744,366 Jang 4,950,227 08/21/`990 AC Savin et al. 5,037,392 08/06/1991 Hillstead ΑD 05/26/1992 Hillstead AE 5,116,318 07/13/1993 AF 5,226,880 Martin 5,342,305 AG 08/30/1994 Shonk 04/11/1995 ΑH 5,405,380 Gianotti et al. 05/16/1995 Pinchuk 5,415,664 ΑI ΑJ 5,470,313 11/28/1995 Crocker et al. 07/09/1996 5,534,007 St. Germain et al. AJ 5,536,252 07/16/1996 AK Imran et al. FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION DOCUMENT NUMBER PUBLICATION COUNTRY OF PATENT OFFICE CLASS SUBCLASS TRANSLATION DATE YES NO 08/21/1991 X BA 0 442 657 A2⁻ **EPO** BB 0 553 960 A1 08/04/1993 EPO X BC 02/08/1996 X W096/03072 A1 PCT WO96/03092 A1 02/08/1996 X BD PCT X BE EP O 707 837 A1 04/24/1995 **EPO OTHER** ART (Including Author, Title, Date, Pertinent Pages, Etc.) CA Julio C. Palmaz et al., 156 RADIOLOGY 73 (1985), Expandable Intraluminal Graft: A Preliminary Study. CB CC **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation connsidered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form

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STATEMENT

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APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

Group: 3309

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	AA	4,328,056	05/04/1982	Snooks			
 	AB	4,338,942	07/13/1982	Fogarty		<u>.</u>	
	AC	4,608,984	09/02/1986	Fogarty			
<u> </u>	AD	4,733,665	03/29/1988	Palmaz	<u> </u>		
	AE	4,875,480	10/24/1989	Imbert			
. 21	AF	4,848,343	07/18/1989	Wallstein et al.			
	AG	5,049,132	09/17/1991	Shaffer et al.			
	AH	5,226,889	07/13/1993	Sheiban			
	ΑI	5,290,306	03/01/1994	Trotta et al.			
	AJ	5,358,487	10/25/1994	Miller			
	AK	5,409,495	04/25/1995	Osborn			
	AL	5,447,497	09/05/1995	Sogard et al.			
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	AN	5,507,768	04/16/1996	Lau et al.			
	AO	5,512,051	04/30/1996	Wang et al.			
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	AR	5,007,926	04/16/1991	Derbyshire			
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	AV	5,242,399	09/07/1993	Lau et al.			
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